

# RIVER TREE WEALTH MANAGEMENT

YOUR PLAN, OUR PRIORITY

**Financial success doesn't happen by chance.**

You know it takes vision, hard work and determination. Sustaining financial success requires commitment along with sophisticated strategies to protect and grow your wealth.



**RIVER TREE**  
WEALTH MANAGEMENT

NORTHWESTERN MUTUAL  
PRIVATE CLIENT GROUP

# WHO WE ARE

Our dedicated team has expertise in planning and solutions for affluent clients. We add strength by partnering with your other experts, including attorneys and accountants.

CLIENT RETENTION RATE\*

**98%**  
5-year average

CLIENT SERVICE TEAM

**2:1**  
staff to advisor

YEARS OF EXPERIENCE

**213**  
combined expertise



**SHAWN PHELPS, MBA**  
FOUNDER &  
PRIVATE WEALTH ADVISOR



**BRANDEE WYZLIC, RICP®**  
CO-FOUNDER &  
PRIVATE WEALTH ADVISOR



**JUSTIN FICKES, ChFC®, M.ED.**  
PARTNER &  
PRIVATE WEALTH ADVISOR



**JACKSON MATHENY**  
ASSOCIATE  
PRIVATE WEALTH ADVISOR



**KYLE DEARING, MBA**  
ASSOCIATE  
FINANCIAL ADVISOR



**TAMMY TANNER**  
INVESTMENT OPS  
MANAGER



**CAITLIN LANE**  
PLANNING  
MANAGER



**ALEXIS PEACE**  
INSURANCE OPS  
MANAGER



**CHERYL AHRENDT**  
PRACTICE  
MANAGER



**SANDI CRAMBLETT**  
MARKETING  
COORDINATOR



**LOU ANN SITES**  
INVESTMENT  
SPECIALIST



**BRE KRAHEL**  
PLANNING  
ASSISTANT



**ALEXIS JOHNSON, MLS**  
CLIENT SERVICE  
ASSOCIATE

\*Average of Shawn Phelps and Justin Fickes persistency rate from July 2018 - June 2023. Shawn Phelps was recognized as Forbes Top Financial Security Professionals list (July 2023), based upon data as of 12/31/2022. River Tree Wealth Management was recognized on the Forbes Best-In-State Wealth Management Teams list for 2024. Ranking published 1/9/24. Data as of 3/31/23. Research and rankings provided by SHOOK research. Columbus Business First - Business Journals award for the Top 25 Financial Planners for Central Ohio Firms is based on voluntary self-reported surveys from the firms that were reviewed by Columbus Business First -Business Journals. Winners are determined by the ranking of Regulatory Assets Under Management as of 2023. Northwestern Mutual and its advisors do not pay for placement on 3rd party rating lists but do pay marketing fees to these organizations to promote the rating(s). Rankings and recognitions are no guarantees of future investment success.



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# WHAT WE DO BEST



## Net Worth & Cash Flow Management

Analysis of your current cash position, cash flows, and balance sheet to assess current financial position and resources available for achieving goals.



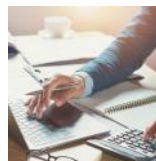
## Risk Management

Assessment of your current life, disability, and long-term care insurance coverages and identification of any coverage gaps or insufficient coverages.



## Education Savings & Loans

Analysis of strategies to save for future education expenses as well as education about managing student loan debt.



## Evaluation of Tax Considerations

General review relating to different types of investment accounts, financial products, account registrations, and the potential effect of taxes on your financial plan, all of which can be discussed with your tax advisor.



## Retirement Planning

Development of strategies to fund your current or future retirement.



## Philanthropic Planning

Assistance with charitable giving strategies both now and in the future.



## Estate & Legacy Planning

Development of strategies in cooperation with your legal and tax advisors to pass wealth to your beneficiaries in an efficient manner.



## Investment Planning

Assistance with understanding your risk tolerance and asset allocation strategies that may be appropriate for you.



## Business Financial Planning

Assistance with your financial planning needs as a business owner, including analyses of cash flows, estimates of value, and aspects of transition or succession planning.



# WHAT PLANNING PATHWAY FITS YOU BEST?

We offer a variety of service tiers depending on the service depth you currently need.

## Foundational Financial Planning

### Ideal for Clients...

Who simply want to purchase investments or insurance serviced by our team

### Client Services:

- ✓ Our team's recommendations
- ✓ Up to 1 review meeting / year

### Annual Financial Planning Cost:

No fee\*

## Premium Fee-based Financial Planning

### Ideal for Clients...

Who want accessible, personalized advice on their short- and long-term goals

### Client Services:

- ✓ Alternative planning scenarios created as needed
- ✓ Tailored risk management, wealth-building & retirement strategies
- ✓ 2 to 4 review meetings / year

### Annual Financial Planning Cost:

Fixed monthly or annual fee\*, typically 1% of income.

## Concierge Fee-based Financial Planning

### Ideal for Clients...

Who have complex planning needs with the aid of multiple professionals

### Client Services:

- ✓ Collaborate with your trusted advisors
- ✓ Evaluate tax considerations
- ✓ Trust & estate planning
- ✓ Facilitate family meetings
- ✓ Business succession planning
- ✓ Retirement launch
- ✓ Meet proactively & reactively

### Annual Financial Planning Cost:

Fee\* is based on complexity, averaging \$5,000 - 100,000.  
Renew as needed.

\* There may be additional Fees/Commissions that vary depending on the insurance, brokerage products, or advisory programs implemented.



# HELPING YOU PLAN FOR CONTINUED SUCCESS



## Newark

35 S Park Pl. Ste. 201  
Newark, OH 43055  
740.618.7390

## Worthington

7700 Rivers Edge Dr. Ste. 200  
Columbus, OH 43235  
614.279.8267

## Upper Arlington

1349 W Lane Ave. Ste. 1200  
Upper Arlington, OH 43221  
614.221.5287



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LET'S TALK

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